

02-28-02

CPA/2708

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PTO/SB/29 (1/98)
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CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

15/169 for CPA
3-6-02

Submit an original, and a duplicate for fee processing.
(Only for Continuation or Divisional application under 37 CFR 1.53(d))

CHECK BOX, if applicable:
☐ DUPLICATE

Address to:
Box CPA
U.S. Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

Attorney Docket No.	81784.0202
First Named Inventor	Norio KOMO
Express Mail Label No.	EL713632645US
Total Pages	2

This is a request for a Continued Prosecution Application (CPA) of prior application number 09/196,013 filed on November 19, 1998, entitled COLOR LIQUID CRYSTAL DISPLAY.

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Technology Center 2600

NOTES

FILING QUALIFICATIONS: The prior application identified above must be nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an International application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application Practice," Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office (Apr. 11, 2000).

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of the CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.59(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of the CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 Statement: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

- ☒ Enter the unentered amendment previously filed on December 19, 2001 under 37 C.F.R. 1.116 in the prior nonprovisional application.
- ☐ A preliminary amendment is enclosed.
- This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
 - ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:
 - ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
- ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
- Information Disclosure Statement (IDS) is enclosed:
 - ☐ PTO-1449
 - ☐ Copies of IDS Citations

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box CPA, Washington, DC 20231.

03/01/2002 JAD901 00000089 501314 09196013

01 FC:131 740.00 CH
02 FC:102 252.00 CH

CALCULATION OF FEES

ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	15	-20	20	LG=\$18 SM=\$9	\$0	0	
B	INDEPENDENT CLAIMS FEE**	6	-3	3	LG=\$84 SM=\$40	\$84	\$252	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)							\$ 252
D	MULTIPLE-DEPENDENT CLAIMS FEE				LARGE ENTITY FEE = \$270 SMALL ENTITY FEE = \$135		\$ 0	
E	BASIC FEE				LARGE ENTITY FEE = \$740 SMALL ENTITY FEE = \$355		\$ 740	
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)							\$ 992
**LIST INDEPENDENT CLAIMS 1, 5, 10, 11, 13, 16								

6. Small entity status: Applicant claims small entity status. See 37 CFR 1.27.

7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 50 - 1314 :

- a. ☒ Fees required under 37 CFR 1.16.
b. ☒ Fees required under 37 CFR 1.17.
c. ☐ Fees required under 37 CFR 1.18.

8. ☒ Please charge the CPA filing fee of \$992 to Deposit Account No. 50-1314.

9. ☐ Payment by credit card. Form PTO-2038 is attached.

10. ☐ Applicant requests suspension of action under 37 CFR 1.103(b) for a period of [#] months (not to exceed 3 months) and the fee under 37 CFR 1.17(i) is enclosed.

11. a. ☐ Receipt For Facsimile Transmitted CPA (PTO/SB/29A)

b. ☒ Return Receipt Postcard (Should be specifically itemized, See MPEP 503)

12. ☒ Other: Petition for Extension of Time Please charge the fee of \$810 (\$920 less \$110 previously paid) to Deposit Account No. 50-1314.

NOTE: The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

10. NEW CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label



26021

PATENT TRADEMARK OFFICE

or ☐ New correspondence address below

NAME	Wei-Fu Hsu, Registration No. 45,723				
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11. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Wei-Fu Hsu
SIGNATURE	
DATE	February 26, 2002